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# Preparation and Relaxation of Very Stable Glassy States of a Simulated Liquid

Robert L. Jack,<sup>1</sup> Lester O. Hedges,<sup>2</sup> Juan P. Garrahan,<sup>3</sup> and David Chandler<sup>4</sup>

<sup>1</sup>*Department of Physics, University of Bath, Bath BA2 7AY, United Kingdom*

<sup>2</sup>*Lawrence Berkeley National Laboratory, Berkeley, California 94720, USA*

<sup>3</sup>*School of Physics and Astronomy, University of Nottingham, Nottingham, NG7 2RD, United Kingdom*

<sup>4</sup>*Department of Chemistry, University of California, Berkeley, California 94720, USA*

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We prepare metastable glassy states in a model glass former made of Lennard-Jones particles by sampling biased ensembles of trajectories with low dynamical activity. These trajectories form an inactive dynamical phase whose “fast” vibrational degrees of freedom are maintained at thermal equilibrium by contact with a heat bath, while the “slow” structural degrees of freedom are located in deep valleys of the energy landscape. We examine the relaxation to equilibrium and the vibrational properties of these metastable states. The glassy states we prepare by our trajectory sampling method are very stable to thermal fluctuations and also more mechanically rigid than low-temperature equilibrated configurations.

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As a supercooled liquid is cooled towards its glass transition, its viscosity increases dramatically while its structure changes only subtly [1–5]. Thus, different fluid states with similar structures may have relaxation times that differ by many orders of magnitude. In this report, we focus on fluid configurations that relax especially slowly. We do so with a field  $s$  that suppresses trajectories with appreciable particle motion [6–11]. It is this field that controls a dynamical or *space-time* phase transition [7–9] in glass forming liquids, a transition between *active* fluid states and *inactive* states where structural relaxation may be completely arrested.

We consider a binary mixture of spherical particles which supports both active and inactive states. The structure of the inactive state differs subtly from the active one, and these differences render the inactive state extraordinarily stable. Thus, while the field  $s$  biases the *dynamics* of the system, the fluid responds by changing its *structure*, so as to arrive in long-lived metastable states. We find that these states are located in (or near [12]) deep valleys of the energy landscape [3,13]. The relationships between long-lived metastable states and glassy behavior have been discussed extensively [3,14–18]. However, even the definition of a metastable state requires a dynamical construction that accounts for its lifetime [15,18], while the energy landscape is a purely static object. Since the field  $s$  couples directly to the dynamical evolution of the system, we find that it is a powerful new tool for analyzing long-lived metastable states.

The model we study is the Lennard-Jones (LJ) mixture of Kob and Andersen (KA) [19]. There are  $N$  particles in the system, of which  $N_A = 0.8N$  are of type A and  $N_B = 0.2N$  are of type B. The unit of length is the diameter  $\sigma$  of the type A particles, and we set the LJ energy for AA interactions to be  $\epsilon = 1$ . All particles have mass  $m$  and we take Boltzmann’s constant  $k_B = 1$ . To facilitate

sampling of the  $s$  ensemble, we consider a small system of  $N = 150$  particles in a box of size  $(5\sigma)^3$  with periodic boundaries, as in [9].

The system is coupled to a heat bath so its dynamical evolution is stochastic. We consider both Newtonian dynamics coupled to a thermostat, and a Monte Carlo (MC) dynamical scheme. Both methods give similar results, both at equilibrium [20] and in the  $s$  ensemble [9]. We use  $x = (\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N)$  to represent the positions of all particles in the system. We consider ensembles of trajectories (“ $s$  ensembles”) based on large deviations [7] of the dynamical activity. Within the  $s$  ensemble, trajectories have length  $t_{\text{obs}}$  and the probability of a trajectory  $x(t)$  is

$$\text{Prob}[x(t)|s] = \text{Prob}[x(t)|0] \frac{e^{-sK[x(t)]}}{Z(s)}, \quad (1)$$

where  $\text{Prob}[x(t)|0]$  is the probability of the trajectory  $x(t)$  at equilibrium and  $Z(s)$  is a normalization factor. The dynamical activity  $K$  measures the amount of motion that takes place in a trajectory, and is defined by  $K = \Delta t \sum_{i=1}^{N_A} \sum_{j=0}^{M-1} |\mathbf{r}_i(t_j + \Delta t) - \mathbf{r}_i(t_j)|^2$ , where the  $t_j = j\Delta t$  are equally spaced times along the trajectory,  $M = t_{\text{obs}}/\Delta t$ , and the index  $i$  runs over all particles of type A. The method exploits the idea that since the most striking glassy properties are dynamical in nature [4,5], the dynamical activity is a natural order parameter for the glass transition [21]. We sampled these ensembles using transition path sampling [9,22].

We focus on *inactive configurations* taken from the inactive state in the  $s$  ensemble, and we compare them with thermally-equilibrated configurations. To assess the stability of different configurations, we used them as initial conditions for simulations with MC dynamics, implemented as in [9,20]. All simulations are run at temperature  $T = 0.6$ , and no biasing field  $s$  was applied. Results are shown in Fig. 1, where we show the mean square

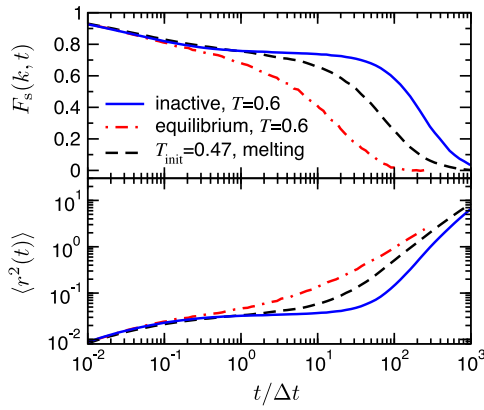


FIG. 1 (color online). Self-intermediate scattering function,  $F_s(k, t) = N_A^{-1} \langle \sum_{i=1}^{N_A} \exp(-i\mathbf{k} \cdot [\mathbf{r}_i(t) - \mathbf{r}_i(0)]) \rangle$ , and mean-squared displacement,  $\langle r^2(t) \rangle$ , from simulations at  $T = 0.6$ . We show time-dependent expectation values evaluated with equilibrated initial conditions at  $T = 0.6$  (dot-dashed); from an inactive  $s$  ensemble at  $T = 0.6$  (full line, see the main text for details); and from equilibrated initial conditions at  $T_{\text{init}} = 0.47$  (dashed line). In the definition of  $F_s(k, t)$ , the sum runs over all particles of type  $A$  and  $k = |\mathbf{k}| = 7.251/\sigma$  corresponds to the first peak of the structure factor.

displacement of the type  $A$  particles,  $\langle r^2(t) \rangle$ , and also their self-intermediate scattering function,  $F_s(k, t)$ . We use these simulations to model the “melting” of the inactive state, and we compare this process with the heating of a supercooled liquid state from one temperature to another (see also the recent experiments in [23]). In our MC simulations the unit of time is  $\Delta t$ , defined such that the diffusion constant in the limit of low density is  $D_0 = \sigma^2/\Delta t$  [9]. For simulations with Newtonian dynamics, we take  $\Delta t = 1.92\sqrt{m\sigma^2/\epsilon}$  which allows quantitative comparison with MC results. Inactive configurations were obtained from the midpoint ( $t = t_{\text{obs}}/2$ ) of trajectories  $x(t)$ , taken from an  $s$  ensemble with MC dynamics at  $T = 0.6$ ,  $t_{\text{obs}} = 150\Delta t$  and  $s = 0.0725/(\sigma^2\Delta t)$ . This  $s$  ensemble is in the inactive state: we have considered other ensembles from this state and their behavior is qualitatively similar.

For simulations with inactive initial configurations,  $\langle r^2(t) \rangle$  shows a plateau, with the system remaining stable for at least  $50\Delta t$  before the particles diffuse away from their initial positions. We conclude that the inactive configurations are localized in metastable states, and must overcome significant free energy barriers before they relax to equilibrium. Comparing initial conditions from the inactive phase with equilibrated fluid configurations from  $T = 0.47$ , we see that these fluid states are less stable, and relax more quickly to equilibrium. While steady state simulations at equilibrium and in the  $s$  ensemble are similar for both MC and Newtonian dynamics, melting and heating processes do depend significantly on the dynamics used in our simulations. MC dynamics approximate the overdamped limit of strong coupling to a heat bath, and are

convenient for demonstrating the metastability of the inactive phase, as in Fig. 1.

In Fig. 2(a), we show the average energies  $\langle E \rangle$  for equilibrated states at various temperatures, and for the inactive configurations. The energy of the inactive state is lower than the equilibrated state at the same temperature, but this difference is small compared to the variation in energy between different equilibrated states. Given that the inactive configurations are much more stable than the thermally equilibrated ones, their relatively large energy may seem surprising.

To understand this result, we consider inherent structures (ISs) [24], obtained by using a conjugate gradient method to find the “nearest” energy minimum to any configuration. The energy of configuration  $x$  is  $E(x) = E_{\text{IS}}(x) + E_{\text{vib}}(x)$  where  $E_{\text{IS}}(x)$  is the energy of the inherent structure associated with  $x$  and we loosely identify  $E_{\text{vib}}(x)$  with “vibrations” around the IS. Figures 2(b) and 2(c) shows the averages of  $E_{\text{IS}}$  and  $E_{\text{vib}}$ . The inactive configurations have IS energies that are lower than any of the equilibrated systems we considered. In computer simulations, the KA mixture has been equilibrated at temperatures as low as  $T = 0.42$  [25]. The average inherent structure energy in the inactive state appears to be consistent with that of equilibrated states near to or below this temperature. Making the simple approximation of thermally-equilibrated harmonic vibrations about the IS positions, we predict  $\langle E_{\text{vib}} \rangle = \frac{3}{2}Nk_B T$ , consistent with the data for both thermally equilibrated and inactive states [see Fig. 2(c)].

Thus, we attribute the stability of the inactive configurations (Fig. 1) to their low inherent structure energies. This link is consistent with studies of the energy landscape

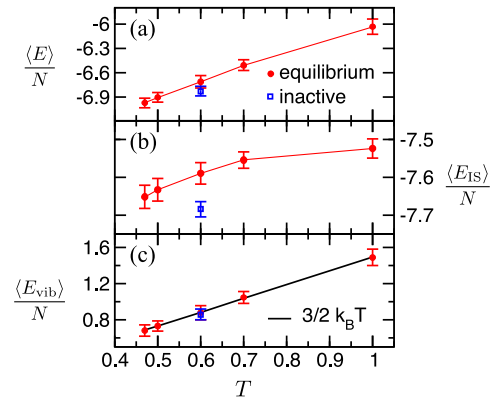


FIG. 2 (color online). Average energy  $\langle E \rangle$ , average inherent structure energy  $\langle E_{\text{IS}} \rangle$  and average vibrational energy  $\langle E_{\text{vib}} \rangle$ , for equilibrium states at various temperatures, and for inactive configurations. Error bars show the size of sample-to-sample fluctuations for these small systems; numerical uncertainties are much smaller than these error bars. In (c), the solid line is the result for harmonic vibrations,  $\langle E_{\text{vib}} \rangle = \frac{3}{2}Nk_B T$ . (The ensemble of inactive configurations is the same as that in Fig. 1.)

at equilibrium, although there is also evidence that slow particle motion is correlated not just with deep minima but also with saddles that have few unstable directions [3,13,26]. Comparing active (equilibrated) and inactive configurations at  $T = 0.6$ , we see from Fig. 2(b) that the biasing field  $s$  has a strong effect on the IS degrees of freedom, while the vibrational degrees of freedom remain close to equilibrium at temperature  $T$ . Thus, for the relatively small value of  $s$  that we are considering, it appears that the probability of finding a configuration  $x$  in the inactive  $s$  ensemble is approximately

$$P(x|s) \propto \mathcal{P}(x_{\text{IS}}|s)e^{-E_{\text{vib}}(x)/T}, \quad (2)$$

where  $\mathcal{P}(x_{\text{IS}}|s)$  is an  $s$ -dependent statistical weight associated with the inherent structure  $x_{\text{IS}}$ , while the Boltzmann factor on the right hand side indicates that the vibrational degrees of freedom are close to equilibrium at the bath temperature. At equilibrium, one has  $\mathcal{P}(x_{\text{IS}}|0) = e^{-E_{\text{IS}}(x)/T}$  but Fig. 2(b) shows that  $\mathcal{P}(x_{\text{IS}}|s)$  is dominated by ISs that are much lower in energy than those found at equilibrium.

We have calculated the vibrational densities of states for these states by expanding the energy  $E(x)$  around the IS and diagonalizing the Hessian matrix to obtain (dimensionless) eigenfrequencies  $\omega_\alpha$  and eigenvectors  $\tilde{e}_\alpha$ . The density of states  $D(\omega)$  is the distribution of eigenfrequencies: eigenvectors with small  $\omega$  are “soft directions” on the energy landscape, which may be correlated with the motion of particles during structural relaxation [27,28]. Figure 3 shows that inactive configurations have fewer soft directions than configurations from thermal equilibrium: in this sense, the inactive state is more rigid than the thermally equilibrated states.

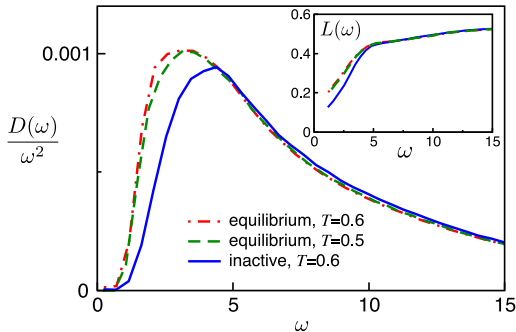


FIG. 3 (color online). Vibrational density of states  $D(\omega)$  (scaled by  $\omega^2$ ) for equilibrium states at  $T = 0.6$  (dot-dashed) and  $T = 0.5$  (dashed), and inactive states at  $T = 0.6$  (full line). Note the relative absence of low frequency modes in the inactive state. The inactive data are taken from an  $s$  ensemble with Newtonian dynamics and  $t_{\text{obs}} = 600\Delta t$  sampled at  $s = 0.009/(\sigma^2\Delta t)$ , near to space-time phase coexistence, but restricted to  $K/(Nt_{\text{obs}}\sigma^2) < 0.03$  [9]. Configurations were taken from all times throughout these trajectories. This  $s$  ensemble was chosen to optimize statistics for  $D(\omega)$ : results for the inactive configurations considered in Fig. 1 are similar. The inset shows the participation ratio  $L(\omega)$ .

We also show the participation ratio [29],  $L(\omega) \equiv \langle 1/[N\sum_i (e_\alpha^i \cdot e_\alpha^i)^2] \rangle$ , where the sum runs over all particles, the vector  $e_\alpha^i$  contains the components of  $\tilde{e}_\alpha$  associated with particle  $i$ , and the average is over modes with frequency  $\omega_\alpha = \omega$ , from all relevant configurations. In all cases,  $L(\omega)$  decreases for small  $\omega$ , indicating that the soft modes are localized on a relatively small number of particles. Thus, while the inactive states have fewer soft directions and hence smaller vibrational fluctuations, the nature of the modes themselves appears similar between active and inactive states.

In Fig. 4, we show the time evolution of the energy for the “melting” simulations discussed above (recall Fig. 1). On taking an equilibrated configuration from  $T = 0.47$  and running MC dynamics at temperature  $T = 0.6$ , energy flows into the system in two distinct stages: the vibrational degrees of freedom respond quickly to the change in temperature while the structural degrees of freedom respond more slowly. On the other hand, on taking an inactive configuration and running MC dynamics at  $T = 0.6$ , the fast degrees of freedom in the inactive state are already close to equilibrium and there is no initial stage of relaxation. The system remains localized in the metastable inactive state until it finally relaxes back to equilibrium, with an approximately exponential time dependence.

It is natural to ask what structural features of the inactive configurations are responsible for their low IS energies. As in [9], we exclude crystalline states from the  $s$  ensembles we consider, since we are specifically interested in amorphous glassy states. Performing a common neighbor analysis [30,31], we find that inherent structures from the inactive state are slightly richer in the “155” environment than their equilibrated counterparts. The 155 environment is associated with icosahedral co-ordination [31]. However, the differences are subtle and sample-to-sample fluctuations large [9]: we did not find a specific structural motif to which we can attribute the stability of the inactive configurations.

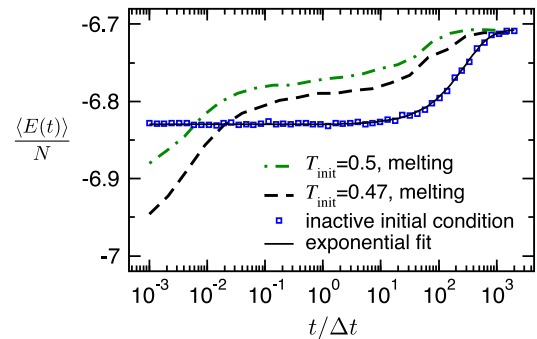


FIG. 4 (color online). Time-dependent energy in “melting” simulations at  $T = 0.6$ . For low-temperature equilibrated initial conditions, energy flows into the system in two stages, corresponding to fast ( $t \lesssim 0.1\Delta t$ ) and slow ( $t \gtrsim \Delta t$ ) relaxation. For inactive initial conditions, there is only a single stage. The solid black line is an exponential fit with characteristic time  $290\Delta t$ .



We end with a general discussion of the role of metastable states in the  $s$  ensemble (see also [10]). On taking an initial configuration from a metastable state  $\alpha$  and simulating equilibrium dynamics, the probability that the system remains in state  $\alpha$  throughout a long time  $t_{\text{obs}}$  is  $P(\alpha \rightarrow \alpha) \sim e^{-\gamma t_{\text{obs}}}$ , where  $\gamma$  is a rate for relaxation to equilibrium. For metastable states with long lifetimes, one expects a nucleation mechanism for relaxation: nucleation may take place at any position in a large system so that  $\gamma \propto N$  on taking the thermodynamic limit  $N \rightarrow \infty$ . Thus, for large enough  $N$  and  $t_{\text{obs}}$ , one expects  $P(\alpha \rightarrow \alpha)/P(\alpha \rightarrow \text{eq}) \sim e^{-\gamma_0 N t_{\text{obs}}}$ , where  $P(\alpha \rightarrow \text{eq}) \approx 1$  is the probability of the system relaxing back to equilibrium.

Let the mean dynamical activity  $K$  for long trajectories localized in state  $\alpha$  be  $k_\alpha N t_{\text{obs}}$ , and the mean activity for trajectories that relax to equilibrium be  $k_{\text{eq}} N t_{\text{obs}}$ . Then, in the  $s$  ensemble, Eq. (1) yields the ratio of probabilities for remaining localized in state  $\alpha$  and for relaxation to equilibrium,

$$\frac{P_s(\alpha \rightarrow \alpha)}{P_s(\alpha \rightarrow \text{eq})} \sim e^{[s(k_{\text{eq}} - k_\alpha) - \gamma_0] N t_{\text{obs}}}. \quad (3)$$

where we assumed that  $k_\alpha$  and  $k_{\text{eq}}$  depend only weakly on  $s$  for small  $s$ , consistent with our observation that fast (vibrational, intrastate) degrees of freedom are affected weakly by  $s$ . Equation (3) shows that if state  $\alpha$  is less active than the equilibrium state ( $k_\alpha < k_{\text{eq}}$ ) and if  $s > s^* = \gamma_0/(k_{\text{eq}} - k_\alpha)$ , then trajectories starting in state  $\alpha$  will remain localized in that state, and will not relax to equilibrium even as  $t_{\text{obs}} \rightarrow \infty$ . This construction shows how metastable states that are irrelevant at equilibrium may dominate the  $s$  ensemble defined in (1). [The probability of relaxation to a new metastable state  $\alpha' \neq \alpha$  might be larger than  $P(\alpha \rightarrow \alpha)$  but that is not relevant for the current argument.]

The field  $s^*$  required to stabilise state  $\alpha$  may be very small if the metastable state is long-lived ( $\gamma_0$  is small). However, for small enough  $s$ , there is always a regime  $s < s^*$ , where relaxation to equilibrium is preferred to localisation in a metastable state, as long as  $\gamma_0$ ,  $k_\alpha$  and  $k_{\text{eq}}$  are strictly positive (nonzero) constants. The definition of  $K$  considered here ensures that  $k_\alpha$  and  $k_{\text{eq}}$  are both finite. Assuming finite short-ranged interaction potentials and that the equilibrium state of the system is indeed a fluid, the nucleation rate  $\gamma_0$  must also be nonzero even in the thermodynamic limit [18]. Thus, for these systems, we expect any transitions in the  $s$  ensemble to take place at  $s = s^*$ , with  $s^*$  strictly greater than zero. There are exceptions to this rule in idealized model systems: for example, in mean-field models it may be that  $\gamma_0 \rightarrow 0$  as  $N \rightarrow \infty$  due to diverging free energy barriers [10], while “kinetic constraints” can lead to  $\gamma_0 \rightarrow 0$  in the thermodynamic limit [8]. Transitions at  $s^* = 0$  might also be possible if the difference in activity density  $k_{\text{eq}} - k_\alpha$  were to diverge, which may be relevant for glass formers [32].

Finally, we note that in any system with long-lived metastable states, the “mean-field” analysis leading to Eq. (3) predicts a dynamic phase transition at  $s = s^*$ . However, fluctuations may destroy these transitions. For example, as well as  $P(\alpha \rightarrow \alpha)$  and  $P(\alpha \rightarrow \text{eq})$ , one should consider the possibility that one part of a trajectory remains localized in state  $\alpha$  while another part has a structure compatible with thermal equilibrium. If this is likely, increasing  $s$  may result in a smooth crossover from active to inactive behavior, with no dynamical phase transition. As demonstrated in [11] for a kinetically constrained model, it is the strength of the coupling between the dynamics in different parts of a system that determines whether a dynamical phase transition takes place.

We conclude that the  $s$  ensemble provides a most effective method for sampling metastable states in glassy systems. By biasing trajectories according to their dynamical activity, the method samples these states “democratically”, without any assumptions about their structural features or long-ranged correlations. In the KA mixture, we find metastable states that are associated with deep minima of the energy landscape and have few soft vibrational modes. Now that these states can be prepared and characterized precisely, it will be interesting to see whether their properties can be predicted and explained by theories of the glass transition.

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